

Attorney Docket No. 3001 P 009

PATENT

2264

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application Of:
Kenneth Kiron and Kevin S. Bander

Application No. 09/579,801

Confirmation No. 8044

Filed: May 26, 2000

For: Open End Mutual Fund Securitization Process

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)
) Group Art Unit: 2761

) Examiner: #2/IDS

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Commissioner For Patents
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

Applicants submit herewith Form PTO-1449 and a copy of the references listed thereon.

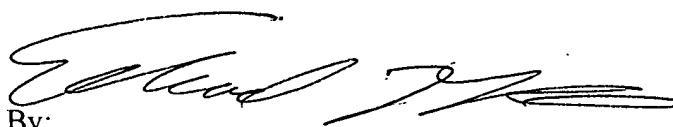
Since this Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits, whichever event occurs last (37 C.F.R. § 1.97(b)), no certification or fee is due. The Commissioner is hereby authorized to charge any deficiency in fees to Deposit Account No. 23-0280. A duplicate copy of this paper is enclosed for that purpose.

Respectfully submitted,

Dated:

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By:

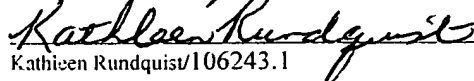


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CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on

March 14, 2001


Kathleen Rundquist/106243.1